

TRANSACTION INSTRUCTION SLIP (FOR SWP)

NJ India Invest Pvt. Ltd.
NJ Centre, "B" Tower, Udhna Udhog Nagar Sangh Commercial Complex, Central Road No.10, Udhna, Surat - 394210

*Date:

TAR No:

Client Details:

*Client Name:

*Unique Client Code (UCC):

*Demat Account No:

SWP Payout Bank Details:

*SWP Payout Bank:

*Account Number:

The above given bank should be registered with Trading Account.

SWP Registration Details:

Sr. No.	*SWP Scheme Name	Scheme Option (GR/DP/DR)	Frequency (Monthly / Quarterly)	Installment Date (01/05/12/20)	Installment Amount
1.					

*SWP Installment(s) Detail (Please tick any one option):

SWP Start Month : _____ (MM/YYYY) (Start month should not exceed more than 4 months from current month)

SWP Installment(s) (Tick any one) : ☐ Till Balance ☐ Instalments _____ (Min. 6)

*Service Category (Please tick any one option):

☐ Execution ☒ OR ☐ Advisory

I have read and agreed to terms and conditions mentioned overleaf and will be solely responsible for any loss in case of not adhering to any instructions mentioned in the overleaf Terms & Conditions.

Client Signature:

For NJ Office use only

Date:

CRO Signature:

Acknowledgment

Client Name:

Unique Client Code (UCC):

TAR No:

Date:

his is an acknowledgement that the TIS is received at NJ PSC on the Date & Time mentioned above. However Transaction will be processed subject to the successful outcome of the verification of the TIS by NJ official.

Signature/Stamp of NJ PSC



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TERMS & CONDITIONS (FOR SWP)

General :

1. The Client submitting the Transaction Instruction Slip to NJ India Invest Private Limited (NJ) shall all the time abide by these Terms and Conditions.
2. The Client shall mention the UCC allotted to him and the order shall be placed in the name as appearing in the Trading / Demat Account for that UCC.
3. The order will be processed as per the details given in the TIS (Transaction Instruction Slip) & any rejection due to incomplete TIS or incorrect information would be the sole responsibility of the Client.
4. Any correction / alteration in the TIS should be counter signed by the Client.
5. Signature should match with signature appearing in the Trading Account opening form.

Transactions :

6. SWP (Systematic Withdrawal Plan) transaction type is offered by NJ to its Trading Account Clients and is not offered by AMC or Exchange(s).
7. Transaction pertaining to registered SWP is processed as Redemption on Exchange(s).
8. Minimum gap of 7 days is required between SWP registration & start date.
9. Maximum gap between SWP submission date & SWP start date should be 60 & 90 days for monthly & quarterly frequency respectively.
10. SWP installment will be triggered on the selected installment date & redemption payout will be done as per the scheme TAT.
11. Brokerage will be applicable on transaction as per the rate applied on redemption. Redemption payout will be done after deduction of brokerage amount.
12. By accepting these terms & conditions, client agrees to pay applicable transaction charges if any.
13. If the transaction(s) are delayed / not processed due to any reason, no back-dated NAV shall be given in any circumstances whatsoever.
14. For "Installment" base SWP, redemption of "All units" will be done if scheme valuation is lesser then the registered SWP amount and registered SWP will be "Canceled" after execution of such installment.
15. For "Till balance" base SWP, redemption of "All units" will be done if scheme valuation is lesser then the registered SWP amount and registered SWP will be "Closed" after execution of such installment.
16. NJ reserves rights to change the terms from time to time without any notice.
17. Any dispute shall be subject to jurisdiction of Court at Surat only.

*Partner Code

Client Signature:

ARN Holders Name:

ARN Holder Signature:



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